

# Registered Representative Acknowledgement of Portfolio Management Registration

**Date:** .....

Mackenzie Financial Corporation (“**Mackenzie**”) makes available certain series of securities to investors whose advisor (the “**Registered Representative**”) is registered with the Investment Industry Regulatory Organization of Canada (“**IIROC**”) or the Canadian provincial or territorial securities regulatory authorities for the purpose of managing the investment portfolio of the Registered Representative’s investor through discretionary authority granted by the investor.

The Registered Representative and the Dealer confirm, represent and acknowledge to Mackenzie the following:

- (a) The Registered Representative has been designated and approved by IIROC or the Canadian provincial or territorial securities regulatory authorities for the purpose of managing the investment portfolio of a Registered Representative’s investor through discretionary authority granted by the investor.
- (b) The Registered Representative will immediately provide notice to Mackenzie of any change to his or her registration status or IIROC approval category.
- (c) The Registered Representative attests that all dealer/representative codes provided in the chart below are his or her own and are in connection with the Registered Representative’s discretionary business. The Registered Representative will provide notice to Mackenzie of any changes or additions to the dealer/representative codes.

## Dealer/ Representative Codes:

Dealer/Rep Code	Name of Dealer/Rep

Any notice required or permitted under this Registered Representative Acknowledgment shall be in writing and may be given by mail, email or fax. Any notice so transmitted, emailed or mailed shall be deemed to have been given as of the date of receipt by Mackenzie.



**MACKENZIE**  
Investments

**Dealer:**

.....  
Signature of Advisor as Authorized Signatory for the Dealer

.....  
Print Name of Signatory

.....  
Dealer Firm Name

.....  
Dealer Number

.....  
Advisor Number

**Dealer Stamp of Approval (where required):**

**Please return the signed and completed Agreement to:**

**Mackenzie Investments**

Dealer Relations Department

180 Queen Street West

Toronto, ON M5V 3K1

Fax: 416-922-5660 or toll free: 1-866-766-6623

Email address: [service@mackenzieinvestments.com](mailto:service@mackenzieinvestments.com)